FORM NLRB-501 (2-18)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE		
	Date Filed 6/10/2022	

INSTRUCTIONS:

File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring.

File an original with NERB Regional Director for the region in		arring.	
	OYER AGAINST WHOM CHARGE IS BROUGHT		
a. Name of Employer Starbucks Corporation		b. Tel. No. (206) 318-2212	
		c. Cell No.	
		f. Fax. No.	
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	3	
2401 Utah Avenue, Suite 800	Howard Schultz, CEO	g. e-mail hschultz@starbucks.com	
Seattle, WA 98134		h. Number of workers employed	
		Est. 245,000	
i. Type of Establishment (factory, mine, wholesaler, etc.)	j. Identify principal product or service		
Coffee Shop	Food and Beverage		
The above-named employer has engaged in and is engaged. National Labor Relations Act, and these unfair labor practiance practices affecting commerce within the meaning of the	tices are practices affecting commerce within the mear		
2. Basis of the Charge (set forth a clear and concise state	ement of the facts constituting the alleged unfair labor p	practices)	
See Attached			
3. Full name of party filing charge (if labor organization, g	ive full name, including local name and number)		
Workers United			
4a. Address (Street and number, city, state, and ZIP code)	4b. Tel. No.	
		(646) 448-6414	
Workers United		4c. Cell No.	
22 South 22 nd St			
Philadelphia, PA 19103		4d. Fax No.	
		(215) 575-9065	
		4e. e-mail	
		rminter@pjbwu.org	
5. Full name of national or international labor organization	n of which it is an affiliate or constituent unit (to be filled		
	•	3 , 3 ,	
Service Employees International Union			
6. DECLARATION I declare that I have read the above charge and that the statements afeitrue to the best of my knowledge and belief.		Tel. No.	
		(206) 257-6012	
Halind I would to the best of the	iy kilowicago ana boliol.	Office, if any, Cell No.	
July Show	Gabe Frumkin, Attorney	_	
(signature of representative or person making charge)	(Print/type name and title or office, if any)	Fax No.	
Barnard Iglitzin & Lavitt LLP		(206) 378-4132	
18 West Mercer St., Ste. 400			
A -1 O N/A 0044C	D-4. 00/40/0000	e-mail	
Address Seattle, WA 98119	Date 06/10/2022	e-mail frumkin@workerlaw.com	

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.

2. Basis of the Charge

Within the last six months, and particularly on or about June 10, 2022, Starbucks through its CEO Howard Schultz violated the Act when:

- 1. Schultz made an implied or actual threat to refuse to engage in good-faith bargaining with the Union.
- 2. Schultz made an implied or actual statement of futility by saying Starbucks will never accept the Union.
- 3. Schultz made an implied or actual threat that Starbucks will lose business because customers will go elsewhere if workers unionize.

These announcements constitute an unlawful refusal to bargain in good faith at the more than 150 stores at which employees have already voted to unionize nationwide, and constitute unlawful statements that could deter employees at hundreds of other stores currently organizing nationwide from engaging in protected activity. Because of Schultz's demonstrated propensity for using his national platform to make unlawful statements and their potential to inflict irreparable injury upon numerous ongoing union organizing efforts throughout the country, relief under Section 10(j) is requested.



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Agency Website: www.nlrb.gov Telephone: (206)220-6300 Fax: (206)220-6305 Download NLRB Mobile App

June 13, 2022

Starbucks Corporation 2401 Utah Ave S Ste 800 Seattle, WA 98134

REGION 19

915 2nd Ave Ste 2948

Seattle. WA 98174-1006

Re: Starbucks Corporation Case 19-CA-297460

Dear Sir or Madam:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney Sarah K. Burke whose telephone number is (206)220-6291. If this Board agent is not available, you may contact Supervisory Compliance Officer Janet C. Little whose telephone number is (206)220-6340.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly. Due to the nature of the allegations in the enclosed unfair labor practice charge, we have identified this case as one in which injunctive relief pursuant to Section 10(j) of the Act may be appropriate. Therefore, in addition to investigating the merits of the unfair labor practice allegations, the Board agent will also inquire into those factors relevant to making a determination as to whether or not 10(j) injunctive relief is appropriate in this case. Accordingly, please include your position on the appropriateness of Section 10(j) relief when you submit your evidence relevant to the investigation.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board

agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your

preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

RONALD K. HOOKS Regional Director

Rorald & Hooks

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

cc: Alyson D. Dieckman, Attorney Ryan P. Hammond, Attorney Littler Mendelson, P.C. 600 University St., Ste. 3200 Seattle, WA 98101-3122

> Renea I. Saade, Attorney Littler Mendelson, P.C. 500 L Street, Suite 201 Anchorage, AK 99501-5909



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Agency Website: www.nlrb.gov Telephone: (206)220-6300 Fax: (206)220-6305 Download NLRB Mobile App

June 13, 2022

Richard A. Minter, Assistant Manager Workers United 22 South 22nd Street Philadelphia, PA 16103

915 2nd Ave Ste 2948

Seattle, WA 98174-1006

REGION 19

Re: Starbucks Corporation Case 19-CA-297460

Dear Mr. Minter:

The charge that you filed in this case on June 10, 2022 has been docketed as case number 19-CA-297460. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney Sarah K. Burke whose telephone number is (206)220-6291. If this Board agent is not available, you may contact Supervisory Compliance Officer Janet C. Little whose telephone number is (206)220-6340.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

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<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

Due to the nature of the allegations in the enclosed unfair labor practice charge, we have identified this case as one in which injunctive relief pursuant to Section 10(j) of the Act may be appropriate. Therefore, in addition to investigating the merits of the unfair labor practice allegations, the Board agent will also inquire into those factors relevant to making a determination as to whether or not 10(j) injunctive relief is appropriate in this case. Accordingly, please include your position on the appropriateness of Section 10(j) relief when you submit your evidence relevant to the investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary

to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

RONALD K. HOOKS Regional Director

Ronald & Hooks

cc: Gabe Frumkin, Attorney
Dmitri Iglitzin, Attorney
Marina Multhaup, Attorney
Barnard Iglitzin & Lavitt LLP
18 W Mercer St., Ste. 400
Seattle, WA 98119